

# DISCLOSURE DIVISION

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☒ **WAIVER REQUEST**  
☐ **ANSWER**  
☐ **RECONSIDERATION REQUEST**  
☐ **UNTIMELY**

**DATE: 6/30/2020**

**DOCKET #:**

Ashley Wimberley, Director  
Disclosure Division

*[Signature]*

## FILER INFORMATION

Name: Nathan Barrett  
Address: 5925 Marigny Street, New Orleans, LA 71022  
Office/Position: Success Preparatory Academy Charter School  
Dates of Service:  
Number of Disclosures and/or Amendments Filed with Agency:

## REPORT INFORMATION

Name of Report: Tier 3 Annual Personal Financial Disclosure covering calendar year 2017  
Report ID: PFD20001977  
Original Due Date: 5/15/2018  
NOD Received: 2/15/2019 - Signed by: Green Card Was Not Signed  
PFD/Answer Due Date based on NOD: 2/26/2019  
PFD/Answer Filed: 4/23/2020

## LATE FEE INFORMATION

Amount of Late Fee: \$1500  
Days late from receipt of NOD: 422  
Total days late from initial due date: 709  
Late Fee Order Received: 5/15/2020  
Payment/Waiver Request Due Date: 6/4/2020  
Waiver Request Received: 5/28/2020

## COMMENTS:

Nathan Barrett is requesting a waiver to the late filing PFD Statement. Mr. Barrett stated he served on the board from 2015-2018, then resigned during the Fall of 2018, when he relocated out of state. He was living in Washington D.C. when the NOD was issued. He forwarded his mail with the postal service, but never received the notice. Once informed by the Success Prep that he failed to file the 2017 disclosure, he immediately submitted the forms. However, without this notice, he was unaware of the deficiency. He understands that public service comes with responsibilities such as compliance with the Louisiana Code of Ethics and his initial failure to file the 2017 disclosure was an oversight, but not an intentional act. He currently works for a non-profit, the National Alliance for Public Charter Schools, and the assessment of this late fee would have a significant impact on his family. Mr. Barrett asks the Ethics Board to consider his lack of notice and waive or reduce his penalty.

• Need to request financial info

## OTHER LATE FEE INFORMATION

### Disclosure Statements:

- Other Outstanding Statements: No
- Other Outstanding Late Fees: No
- Prior Late Fees: No
- Reassessed Late Fees: No

### Campaign Finance:

- Outstanding Late Fees: No
- Prior Late Fees: No

NATHAN BARRETT  
5925 MARIGNY STREET  
NEW ORLEANS, LOUISIANA  
71022

May 27, 2020

**SENT VIA FACSIMILE ONLY 225-381-7271**

Louisiana Board of Ethics  
P.O. Box 4368  
Baton Rouge, LA 70821

**Re: Request for waiver of late fee assessment PFD20001977**

To whom it may concern:

I am writing to request a waiver to the late fee assessed by an Order of the Louisiana Board of Ethics ("Board") on May 11, 2020. This fee is for failure to file a Tier 3 disclosure for my service on the board of Success Preparatory Academy ("Success Prep").

I served on the Board of Success Prep from 2015 to 2018. I am longtime advocate for charter schools and I agreed to serve on the board to support my local charter. I resigned from the board in the fall of 2018 when I moved to Washington, DC. I was recently informed by Success Prep that I failed to file my 2017 Tier 3 disclosure form. I filed the form immediately after Success Prep informed me of this issue. The May 11, 2020 Order issued by the Board states that I received notice of this deficiency in a letter dated February 15, 2019 and that I was given until February 26, 2019 to file the disclosure. As previously noted, I was living in Washington, DC at this time. I had left a forwarding address with the postal service, but never received the February 15 letter. Had I received notice of this deficiency, I would have immediately filed the Tier 3 form.

The Order issued by the Board states that my filing was 422 days past the February 26, 2019 deadline established in the February 15, 2019 letter. Had I actually received this notice from the Board, I would have acted immediately. Without this notice, I was not aware of the deficiency. I agreed to serve on the board of Success Prep as a public service in support of public charter schools. I fully understand that public service comes with responsibilities such as compliance with the Louisiana Code of Ethics. My initial failure to file the 2017 disclosure was an oversight and not an intentional act. Had I received notice of this deficiency, I would have immediately acted. I currently work for a non-profit, the National Alliance for Public Charter Schools, and the assessment of this late fee will have a significant impact on my family. I ask that you reduce or waive this late fee, and consider the lack of notice in 2019 to be a mitigating factor.

I ask that you put this request on the docket for the next meeting of the Board where I would like to make an appearance. In the meantime, if you should have any questions, do not hesitate to contact me at 859-396-0987.

Sincerely,

A handwritten signature in black ink, appearing to read 'Nathan Barrett', with a stylized, cursive script.

Nathan Barrett



STATE OF LOUISIANA  
DEPARTMENT OF STATE CIVIL SERVICE  
**LOUISIANA BOARD OF ETHICS**  
P. O. BOX 4368  
BATON ROUGE, LA 70821  
(225) 219-5600  
FAX: (225) 381-7271  
1-800-842-6630  
[www.ethics.la.gov](http://www.ethics.la.gov)

**CERTIFIED MAIL**

**NO. 70180680000139132496**

**RETURN RECEIPT REQUESTED**

February 11, 2019

Nathan Barrett  
3033 Toledano St  
New Orleans, LA 70125

**RE: NOTICE OF DELINQUENCY - FAILURE TO FILE**  
**Statement covering 2017**

Dear Nathan Barrett:

Pursuant to La. R.S. 42:1124.4, if a person fails to file a Personal Financial Disclosure Statement as required by 42:1124, 1124.2, 1124.2.1, or 1124.3; omits information; or files inaccurately, a Notice of Delinquency shall be issued. A review of our records indicates that we have not received your Personal Financial Disclosure Statement.

**You have 7 business days from the date of receipt of this Notice** to file your Tier 3 Personal Financial Disclosure Statement covering 2017, or to submit an Answer explaining why you feel you are not required to file a Personal Financial Disclosure Statement. Failure to file a Personal Financial Disclosure Statement or an Answer within the 7 business days will subject you to an automatic late fee of \$50 per day up to a maximum of \$1,500. Proof of timely filing is determined by the U.S. Postal Service postmark; receipt from the U.S. Postal Service; or receipt from a commercial delivery service.

The form for the Tier 3 Personal Financial Disclosure Statement (Form 418a) is available on the Louisiana Board of Ethics website at [www.ethics.la.gov](http://www.ethics.la.gov). If you have any questions, you may contact me at 225/219-5600 or 800/842-6630.

Sincerely,

Blair Perkins  
Compliance Officer

## SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

## 1. Article Addressed to:

Nathan Barrett  
3033 Toledano St  
New Orleans, LA 70125



2496



9590 9402 4055 8079 1503 32

## 2. Article Number (Transfer from service label)

7018 0680 0001 3913 2496

PS Form 3811, July 2015 PSN 7530-02-000-9053

## COMPLETE THIS SECTION ON DELIVERY

## A. Signature

X

☐ Agent☐ Addressee

## B. Received by (Printed Name)

## C. Date of Delivery

- D. Is delivery address different from item 1? ☐ Yes  
if YES, enter delivery address below: ☐ No

## 3. Service Type

☒ Adult Signature☐ Adult Signature Restricted Delivery☒ Certified Mail®☐ Certified Mail Restricted Delivery☐ Collect on Delivery☐ Collect on Delivery Restricted Delivery☐ Priority Mail Express®☐ Registered Mail™☐ Registered Mail Restricted Delivery☒ Return Receipt for Merchandise☐ Signature Confirmation™☐ Signature Confirmation Restricted Delivery

Restricted Delivery

Domestic Return Receipt